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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/041,772

01/08/2002

Johannes Thoma

12782-002001

CONFIRMATION NO. 3169

FORMALITIES LETTER

OC000000007429918

DENIS G. MALONEY Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804

Date Mailed: 02/06/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$540.
 - \$288 for 16 total claims over 20.
 - \$252 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1410.

A copy of this notice <u>MUST</u> be returned with the reply.

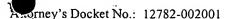
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/18/2002 ADSMAN1 00000098 10041772

740.00 OP 252.00 OP 02 FC:102 288.00 OP 03 FC:103 130.00 OP 04 FC:105





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Johannes Thoma, Shawn Murphy

Art Unit

: Unknown

and Christian Schallhardt

Examiner: Unknown

Serial No.: 10/041,772

Filed

: January 8, 2002

Title

SECURE EXTENSIBLE COMPUTING ENVIRONMENT

BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) mailed February 6, 2002 (copy enclosed), applicant as a large entity submits herewith the following:

- \boxtimes Payment of the basic filing fee of \$740;
- |X|Payment of the additional/multiple dependent claims fees of \$540;
- X Payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration;
- \mathbf{X} A check in the total amount of \$1410 is attached;
- \boxtimes A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

Jennifer Hess Carleton

Typed or Printed Name of Person Signing Certificate

Applicant: Johannes Thonas, Shawn Murphy and

Christian Schallhardt Serial No.: 10/041,772

Filed

: January 8, 2002

Page

: 2



Respectfully submitted,

orney's Docket No.: 12782-002001

Date: 4800

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